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PATENT (4)

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Richard L. SPAGNA et al.

: Atty. Docket: SOM920000016US1

: Group Art Unit: 2161

Serial No.: 09/711,747

Confirmation No.: 8049

Filed: November 13, 2000

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. Copies of the following cited references were filed in the parent application serial no. 09/376,102 on the following dates: AA1-AG5 - filed September 7, 1999; AG6 - filed October 11, 1999; AG7-AG8 - filed on June 30, 2000; and AG9-AH2 - filed on January 3, 2001. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

- ☒ 37 CFR 1.97(b) - No Fee.  
☐ 37 CFR 1.97(c) - No Fee, with Certification.  
☐ 37 CFR 1.97(c) - Fee.  
☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. **09-0459**.

Respectfully submitted,

Date:

6/26/01

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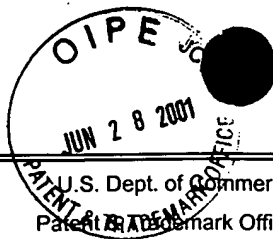
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Form PTO-1449	U.S. Dept. of Commerce Patent and Trademark Office	Atty. Docket: SOM920000016US1	Serial No. 09/711,747
List of Documents Cited by Applicant (Use several sheets if necessary)		Applicant: Richard L. SPAGNA et al.	
		Filing Date: November 13, 2000	Group No.: 2161

## U.S. PATENT DOCUMENTS

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	AA1	4,200,770	April 29, 1980	Hellman, et al.			
	AA2	4,218,582	August 19, 1980	Hellman, et al.			
	AA3	4,405,829	September 20, 1983	Rivest, et al.			
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	AA5	4,995,082	February 19, 1991	Schnorr			
	AA6	5,347,580	September 13, 1994	Molva, et al.			
	AA7	5,497,421	March 5, 1996	Kaufman, et al.			
	AA8	5,509,071	April 16, 1996	Petrie, Jr., et al.			
	AA9	5,369,705	November 29, 1994	Bird, et al.			
	AB1	5,420,927	May 30, 1995	Micali			
	AB2	5,604,804	February 18, 1997	Micali			
	AB3	5,606,617	February 25, 1997	Brands			
	AB4	5,214,702	May 25, 1993	Fischer			
	AB5	4,868,877	September 19, 1989	Fischer			
	AB6	5,005,200	April 2, 1991	Fischer			
	AB7	5,371,794	December 6, 1994	Diffie, et al.			
	AB8	5,261,002	November 9, 1993	Perlman, et al.			
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	AC4	5,315,658	May 24, 1994	Micali			
	AC5	5,666,420	September 9, 1997	Micali			

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	AC7	4,879,747	November 7, 1989	Leighton, et al.			
	AC8	5,537,475	July 16, 1996	Micali			
	AC9	4,803,725	February 7, 1989	Home, et al.			
	AD1	4,926,479	May 15, 1990	Goldwasser, et al.			
	AD2	4,878,246	October 31, 1989	Pastor, et al.			
	AD3	4,944,006	July 24, 1990	Citta, et al.			
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	AD6	4,809,327	February 28, 1989	Shima			
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	AD8	5,224,166	June 29, 1993	Hartman, Jr.			
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	AE6	5,412,717	May 2, 1995	Fischer			
	AE7	4,272,810	June 9, 1981	Gates, et al.			
	AE8	4,463,387	July 31, 1984	Hashimoto, et al.			
	AE9	4,825,306	April 25, 1989	Robers			
	AF1	4,868,687	September 19, 1989	Penn, et al.			

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	AG1	5,710,887	January 20, 1998	Chelliah, et al.			
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	AG2	J. Linn, "Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures," RFC 1421, February, 1993, pgs. 1-37.
	AG3	S. Kent, "Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management," RFC 1422, February, 1993, pgs. 1-28.
	AG4	D. Balenson, "Privacy Enhancement for Internet Electronic Mail: Part III: Algorithms, Modes, and Identifiers," RFC 1423, February, 1993, pgs. 1-13.
	AG5	B. Kaliski, "Privacy Enhancement for Internet Electronic Mail: Part IV: Key Certification and Related Services," RFC 1424, February, 1993, pgs. 1-8.

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	AG6	5,892,900	April 6, 1999	Ginter, et al.			

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	AG7	WO 97/43717	November 20, 1997	PCT			
	AG8	WO 98/13970	April 2, 1998	PCT			
	AG9	EP0704785A2	April 3, 1996	Europe			
	AH1	WO 96/41445	December 19, 1996	PCT			
	AH2	WO 98/39878	September 11, 1998	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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